



REVIEWING Your Retirement Plan Advisor: A Guide for Employers



Choosing the right retirement plan advisor (RPA) is one of the most crucial decisions a plan sponsor can make. Ensuring compliance with ERISA requirements and safeguarding your organization's retirement plan starts with a thorough evaluation of your advisor. Here's why and how to get it done effectively.

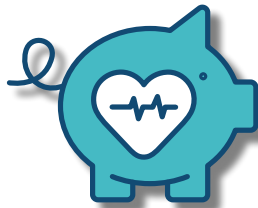
Why Conduct Advisor Due Diligence?

Performing documented due diligence on all service providers paid through plan assets, including your RPA, is required under ERISA. The stakes are high:



Increased Litigation

Protect your organization from potential legal challenges.



Competitive Advisor Fees

Over the past decade, fees have dropped by 50%—ensuring your advisor's pricing remains competitive is vital.



Rising Fiduciary Insurance Premiums

Proactive due diligence can help manage these costs.

Why Not Rely on Your Current Plan Advisor for This?

While your retirement plan advisor conducts impartial due diligence on investments and record-keeping, they face **conflicts of interest** when evaluating themselves. This makes it critical to seek an **independent review** to ensure compliance and objectivity.

Why Choose The Plan Sponsor University (TPSU)?

TPSU offers plan sponsors a trusted, independent source for fulfilling ERISA-required due diligence. Here's what sets TPSU apart:

- **Unmatched Experience:** Educated over 10,000 plan sponsors through in-person and online training since 2013.
- **Independent & Unbiased:** Not affiliated with any provider or advisory firm, ensuring objectivity.
- **Industry Expertise:** Affiliate organization, The Retirement Advisor University (TRAU), has trained over 2,000 RPAs, equipping them with industry-leading knowledge.



“Through rpaDD, we were able to thoroughly evaluate our current Investment Manager against others in the industry, ultimately confirming that we were receiving fair pricing for the services provided. The TPSU team was highly responsive, well-organized, and made the entire process seamless. Their structured system kept everything clear and easy to navigate, saving our team significant time. As a result, we were able to complete the RFP process much more efficiently and at a faster pace compared to our previous review.”

Nikki R., PROBERCO — TPSU rpaDD Client



“This process didn't just help us choose a new advisor—it transformed how we approach our retirement plan. We now have an engaged, proactive advisor who is aligned with our company's goals and employees' best interests. I would encourage any plan sponsor to go through this experience.”

*Jennifer R., IAPMO —
TPSU rpaDD Client*

rpaDD:

**Retirement Plan Advisor
Due Diligence Center**

Protect your organization and workforce by ensuring your advisor is qualified, independent, and the best fit for your retirement plan needs.

Learn more about how TPSU can help your organization fulfill its fiduciary responsibilities with confidence at TPSUniversity.com or contact:

Cindi@TPSUniversity.com
855-755-4015 ext. 134

Miguel@TPSUniversity.com
855-755-4015 ext. 120