

Tuesday, September 24th, 2019

9:00 a.m. to 3:00 p.m.

Location:

Baruch College

Vertical Campus Conference Center

55 Lexington Ave

New York, NY 10010

14th Floor, Room 14-270

Adjunct Lecturer Presenting:

Richard Schwamb, C(k)P®

Corporate Retirement Director

Morgan Stanley

The Plan Sponsor University

The C(k)PF / The Certified 401(k) Plan Fiduciary Designation

Agenda

9:00 – 9:30	Breakfast / Registration	Registration & Sign-in
9:30 – 9:50	Introduction	Introduction to The Plan Sponsor University <i>Fred Barstein, C(k)P – The Plan Sponsor University</i>
9:50 – 10:50	Session 1	Behavioral Finance – And its Effect on Participant Outcomes <i>Richard Schwamb, C(k)P – The Schwamb O’Day Group/Morgan Stanley</i>
10:50 – 11:05	Break	
11:05 – 11:50	Session 2	Keynote Presentation Washington Pulse: Updates on Retirement Plan Topics <i>Jennifer Kiffmeyer – Retirement Learning Center</i>
11:50 – 12:35	Session 3	Panel Discussion with Industry Professionals: Best Practices <u>Moderator:</u> <i>Richard Schwamb, C(k)P – The Schwamb O’Day Group/Morgan Stanley</i> <u>Panelists:</u> <i>William Kempton – Fidelity Investments</i> <i>Michael Gearty – Guggenheim Funds Distributors</i> <i>Ruben Gonzalez – Janus Henderson Investors</i> <i>Louis LiBrandi – PKF O’Connor Davies, LLP</i>
12:35 – 12:50	Break	Pick up your Lunch
12:50 – 2:15	Session 4 (Working Lunch)	What is Working in Today’s 401(k) Plans? What is Not Working in Today’s 401(k) Plans? <u>Group Discussion Leaders:</u> <i>John Kersey – Voya Investment Management</i> <i>Thomas O’Connell – Wells Fargo</i> <i>Eric Seidman – Fred Alger Management</i>
2:15 – 2:45	Session 5	The Ideal Plan – <i>Interactive Discussion</i>
2:45 – 3:00	Closing	The C(k)PF Designation and Closing Statements
<i>Agenda is Subject to Change</i>		