TPSU
THE PLAN SPONSOR UNIVERSITY

Robert A. Lockery Jr
Director of Corporate Retirement Plans
Ganim Financial

With over 19 years of experience in the financial services industry, Robert “Bob” A. Lockery has dedicated his career to collaborating with business owners, government agencies, not-for-profit organizations, and their employees. His expertise lies in the creation and promotion of top-tier qualified retirement plans, encompassing a wide range including 401(k), 403(b), 457-governmental, and Top Hat plans.

Bob's primary focus revolves around closely partnering with plan fiduciaries. He guides them in optimizing retirement plan offerings to employees while ensuring compliance with fiduciary obligations. Bob employs independent investment analysis and a set of best practices to achieve these goals.

As part of his comprehensive approach, Bob engages with plan participants in both group settings and individual meetings, addressing their retirement readiness. He meticulously reviews participant numbers, answers inquiries, and assists individuals in moving toward plans to meet their future retirement income needs.

Bob began his financial services journey in customer service, cultivating a deep appreciation for trust, effective communication, research, and providing straightforward explanations—qualities highly valued by his clients.

Bob is a former member of the New Haven Chamber of Commerce and Treasurer for the Southern Connecticut State University Alumni Association. Additionally, Bob has actively contributed to his local community by serving on the Planning and Zoning Appeals Board. Currently, Bob serves as an Ambassador for the Bridgeport Regional Business Council (BRBC).

Holding a Bachelor of Science Degree in Business/Corporate Communication, he maintains a Series 65 securities license and is licensed for life and health insurance in CT and NY.

Beyond his professional pursuits, Bob finds joy in spending time with his family and has dedicated over 15 years to coaching Girls fast-pitch softball and a slow-pitch team for men in his hometown of North Haven.”

Securities offered through Kestra Investment Services, LLC (Kestra IS), member FINRA/SIPC. Investment advisory services offered through GFS Wealth Management Advisors; Inc. dba Ganim Financial. Insurance products and services are offered and sold through agents associated with Ganim Insurance Consultants, Inc. (GIC). Kestra IS is not affiliated with Ganim Financial or GIC. Ganim Financial, GIC, and Kestra IS do not offer tax or legal advice. Any decisions whether to implement these ideas should be made by the client in consultation with their financial and tax professionals and legal counsel. E-mail sent to or from this e-mail address may be monitored, reviewed, and archived. Kestra Investor Disclosures: https://bit.ly/KF-Disclosures. GFS Investor Disclosures: http://gan.im/GFS-Disclosures.

Jupiter, FL 33458 Registrar@TPSUniversity.com Phone: 1-855-755-4015
©2023 TRAU, Inc. dba. The Plan Sponsor University (“TPSU”). All Rights Reserved.

CAPITAL GROUP® | AMERICAN FUNDS®

Jonathan Young, PPC®
Senior Vice President,
Senior National
Accounts Manager

As Capital Group's speaker on all things retirement, Jonathan Young believes six of the most powerful words in the English language are: Let me tell you a story. He weaves humor, statistics and insights drawn from over 30 years of experience to coach financial professionals to retirement plan success.

Jonathan inspires everyone he meets with his passion for the noble quest of improving participant outcomes. His mission is to show the vital role we can play as champions of dignified retirements for upcoming generations of Americans.

This former financial advisor knows the value advisors deliver to clients each day and always looks for ways advisors can take their practices to the next level. His decades of investment industry experience include over 28 years at Capital Group as well as time spent at PaineWebber. He holds a bachelor's degree in Speech Communication and Rhetoric from Old Dominion University, as well as the Accredited Investment Fiduciary®, Qualified Plan Consultant® and Professional Plan Consultant® designations.

This Virginia native can be spotted in his natural habitat of Chesapeake, VA, where he's known to indulge in his passions for classic muscle cars, classic vinyl, barbeque grillery and saltwater fishing.

"In my 20-plus years of attending wealth management and retirement plan conferences, I've heard many people speak. Few – if any – deliver the energy, knowledge and entertainment like Jonathan. He's equal parts subject matter expert, master storyteller and comic. Your brain and practice will benefit from attending his session(s)."

- Advisor of 20+ years

"Jonathan cuts through the noise and delivers content that's relevant and meaningful. With wit and warmth, he creates an atmosphere that excites and engages the listeners."

- Advisor of 30+ years