



**George W. Lollis, CEFEX Analyst®, AIFA®, CWS®**  
*Managing Partner/ Founder*  
**WealthVisory Fiduciary 401(k)**

George W. Lollis is Managing Partner and Co-Founder of **WealthVisory Fiduciary 401(k)** and is a Registered Investment Advisor representative through Prospera Financial Services, Inc., a SEC Registered Investment Adviser firm. Mr. Lollis brings over forty years of investment consulting experience to the firm's Qualified Plan Fiduciary Consulting practice, serving as trusted financial advisor providing fiduciary consulting, financial investment analysis and investment portfolio management. Mr. Lollis specializes in 401(k) Plans, defined contribution plans, defined benefit plans, ESOP Plans and Non-Qualified Deferred Compensation Plans, ranging in size from \$10,000,000 to over \$1,000,000,000.

Mr. Lollis has earned the **Center for Fiduciary Excellence Analyst (CEFEX Analyst®)** designation, the **Accredited Investment Fiduciary Analyst® (AIFA®)** designation, the **Certified Wealth Strategist (CWS®)** designation, and a Bachelor of Business Studies in Finance, Magnum Cum Laude, from Dallas Baptist University as a member of the Alpha Sigma Lambda National Honors Society. Mr. Lollis holds a FINRA Series 7 license, Series 66 license, Series 63 license, and Series 22 license.

Mr. Lollis is the **"TRUSTED ADVISOR OF CHOICE"** for qualified plan trustees and serves as a **Co-Fiduciary** with ever-increasing responsibilities of financial consulting, financial investment analysis and investment portfolio management. Lollis currently consults many corporate clients and their qualified retirement plan oversight committees with regards to **Qualified Plan Fiduciary Consulting**. Through developing a fiduciary investment review process with each client, a "procedural prudence" is established in all aspects of fiduciary due diligence, including, drafting and implementation of an Investment Policy Statement; conducting vendor searches; establishing criteria for due diligence; implementing a program for fiduciary monitoring; ensuring that investments are promptly removed when they fail to meet the established criteria; ensuring the plan complies with applicable laws and regulations; ensuring that the process is documented; and ensuring compliance with ERISA 404(c).

Mr. Lollis serves as a Board Member for the Order of Christian Workers, is an active Member of the Christ Episcopal Church, and actively serves in the Bill Glass Behind the Walls prison ministry.



**Brian Fees, AIF®, CFP®**  
*Managing Partner/ Founder*  
**WealthVisory Fiduciary 401(k)**

Brian Fees is Managing Partner and Co-Founder of **WealthVisory Fiduciary 401(k)** and is a Registered Investment Advisor representative through Prospera Financial Services, Inc., a SEC Registered Investment Adviser firm. Mr. Fees brings over fifteen years of investment consulting experience to the firm's Qualified Plan Fiduciary Consulting practice, serving as trusted financial advisor providing fiduciary consulting, financial investment analysis and investment portfolio management. Mr. Fees specializes in 401(k) Plans, defined contribution plans, defined benefit plans, ESOP Plans and Non-Qualified Deferred Compensation Plans, ranging in size from \$10,000,000 to over \$1,000,000,000.

Mr. Fees has earned the **Accredited Investment Fiduciary® (AIF®)** designation, and the **Certified Financial Planner (CFP®)** designation, and a Bachelor of Business Administration from **Baylor University**, where he majored in both Financial Services & Planning and Risk Management & Insurance. Mr. Fees holds a FINRA Series 7 license and Series 66 license.

Mr. Fees serves as a **Co-Fiduciary** with ever-increasing responsibilities of fiduciary consulting, financial investment analysis and investment portfolio management. Mr. Fees currently provides Fiduciary Consulting to corporate clients and their qualified retirement plan oversight committees; including, serving as the **3(38) Investment Fiduciary**; development of **Plan Governance**; recommendations on **Plan Design**; development of the **Investment Policy Statement**; implementing the Plan's **Investment Options**; overseeing **Investment Monitoring and Reporting**; and conducting periodic **Benchmarking of Fees**.

Mr. Fees serves as a board member for the Gonzales Healthcare Systems Foundation and is a member of the Gonzales Rotary Club. He is also a member of the Financial Services Institute. In his spare time, he enjoys archery and endurance races. He especially enjoys spending time with his wife and five children.

## Katelyn Boone

Vice President

Fidelity Investments

Katelyn Boone is a vice president for the Intermediary Investment Client Group within Fidelity Institutional®. Fidelity Institutional is a division of Fidelity Investments that offers investment insights, strategies, and solutions, as well as trading services to a wide range of wealth management firms and institutional investors. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to institutions, financial intermediaries, and individuals.

In this role, Ms. Boone is responsible for wholesaling the Fidelity Advisor Funds, Fidelity VIP Portfolios, and Fidelity Sub Advised portfolios on the insurance, bank, broker-dealer, and TPA recordkeeping platforms within the Defined Contribution Investment Only (DCIO) division based in Austin, Texas. She is focused on supporting and servicing the platform relationships, financial advisors, broker-dealer retirement coordinators, and TPAs utilizing Fidelity money management on the platforms described. Service and support are centered on institutional fund presentations and analytics, retirement thought leadership, capital markets intelligence, and financial advisor best practices.

Previously, Ms. Boone was an inside wholesaler covering San Francisco, a senior manager of regional investment consulting, and an inside sales representative. She has been in the financial industry since 2005.

Ms. Boone earned her bachelor of science degrees in finance and marketing from the University of Rhode Island. She also holds the Financial Industry Regulatory Authority (FINRA) Series 6, 7, 24, 26, 51, and 63 licenses and holds the C(k)P® designation.

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