



**Deena Rini, MBA, C(k)P®**  
*Senior Vice President, Managing Director*  
**Oswald Financial**

Deena Rini is Senior Vice President and Managing Director of the Retirement Plan Division at Oswald Financial overseeing all business operations, people and strategic initiatives. She has over eighteen years of industry experience, previously serving in multiple roles on Oswald's retirement plan team, which she joined in 2007.

Deena earned her Master of Business Administration from John Carroll University; she earned her Bachelor of Science degree as a double major in Mathematics and Business from Muskingum University where she also played varsity volleyball. Deena holds her FINRA Series 6 and 63 securities registrations with LPL Financial and the Series 65 securities registration with Global Retirement Partners, LLC. She also holds her C(k)P designation from The Retirement Advisor University.

Deena exemplifies Oswald's mission of "Commitment to Community," serving as a board member and treasurer for Hope Meadows Foundation. She has previously served as a board member for Holy Name High School where she cofounded and led their female mentorship program, EmpowerHER. She also served as past member of John Carroll University's MBA Advisory Board; as well as a past member of Advisory Board for PLANADVISER. She is a graduate of the Cleveland Leadership Center's Bridge Builders program and recently accepted into the Leadership Cleveland Class of 2026.

Deena is the recipient of the 2023 Grau-Breslin Alumni Award from John Carroll University. She is the recipient of the 2020 Oswald Star Achiever Integrity Award.\*\*

#### **Disclosures**

Securities offered through LPL Financial, member FINRA/SIPC. Investment advisory services offered through Global Retirement Partners, DBA Oswald Financial, an SEC registered investment advisor and separate entity from LPL Financial.

\*\*

#### **Oswald Star Achiever Integrity Award 2020**

Oswald Companies delivers employee appreciation awards based on our core values – Passion for Excellence, Integrity, Resourcefulness and Commitment to Community. Oswald Companies Human Resources and Executive Leadership team determine the winners based on observed behaviors and peer feedback. LPL Financial and Oswald Companies are separate entities.

#### **Grau-Breslin Alumni Award from John Carroll University**

John Carroll University Alumni Department identifies an alumnus that exhibits exceptional leadership and service to their community.



**Tyler Deck, QKA®, CPFA™**  
*Regional Market Leader*  
**Oswald Financial**

Tyler Deck is a nationally recognized leader in retirement plan consulting, honored as a three-time recipient of NAPA's Top Plan Advisors Under 40 for his impact and innovation in the industry (2024, 2025, 2026).\*\* As Regional Market Leader for Retirement Plan Services, Tyler brings deep expertise in plan design, fiduciary governance, and participant outcomes ultimately helping organizations strive to optimize their retirement programs and drive measurable success.

With a client-first philosophy, Tyler focuses on building strong partnerships rooted in transparency, education, and strategic insight. His approach works towards empowering employers and plan participants to make informed decisions with confidence, seeking to ensure every engagement delivers exceptional service and long term value.

Tyler is passionate about advancing best practices in the retirement plan space and is recognized for his ability to translate complex regulations into actionable strategies that aim to improve financial wellness and retirement readiness.

#### **Disclosures**

Securities offered through LPL Financial, member FINRA/SIPC. Investment advisory services offered through Global Retirement Partners, DBA Oswald Financial, an SEC registered investment advisor and separate entity from LPL Financial.

\*\*

Established in 2014, the list of "Aces" is drawn from nominations provided by NAPA Broker-Dealer/RIA Firm Partners and vetted by a blue-ribbon panel of senior advisor industry experts based on a combination of quantitative and qualitative data submitted by the nominees, as well as a broker-check review. One of the first of NAPA's standard-setting industry lists, many of the individuals who have been recognized here have gone on to become the very industry leaders this recognition was designed to help identify. The award is not indicative of the Advisor's future performance. No fee is charged to participate.

**In 2023, 268 applicants were received. 100 were ultimately selected.**

**In 2024, 536 nominations were received, and from that, 268 completed the application. 100 were ultimately selected.**

**In 2025, 662 nominations were received and from that, 214 completed the application. 100 were ultimately selected.**



**Douglas Stalter, QKA®, CPFA™**  
*Vice President, Director, Strategic Growth*  
**Oswald Financial**

Doug is a driven and results-oriented leader with a strong passion for delivering thoughtful, effective solutions to both businesses and individuals that strive to positively impact their financial well-being. Through his extensive experience, he has developed a disciplined and insightful approach to analysis and client service, focused on the goal of enhancing the long-term wealth, stability, and security of those he serves. Doug finds great professional fulfillment in simplifying complex regulations and navigating intricate financial situations, working towards helping clients develop clarity, confidence, and informed strategies that support better decision-making for themselves and their organizations.

Doug is the recipient of PLANADVISER 2025 Top Retirement Plan Advisers, NAPA's 2025 Top DC Advisor Teams, and Barron's 2025 Top 100 Institutional Consulting Teams.

#### Disclosures

Securities offered through LPL Financial, member FINRA/SIPC. Investment advisory services offered through Global Retirement Partners, DBA Oswald Financial, an SEC registered investment advisor and separate entity from LPL Financial.

\*\*

#### 2025 PLANADVISER Retirement Plan Adviser of the Year finalists and winners

Finalists and winners demonstrate leadership and a commitment to excellence in serving retirement plan sponsors and participants and contributing to the retirement plan adviser industry.

For the fourth year in a row, the awards are being issued in multiple categories. We have separated our recognition for "Community Impact and Giving Back" into a separate program and replaced that category with "403(b) Plan Service." This year, advisers are being recognized for their excellence in the following areas:

- Closing the Coverage Gap — Micro/Startup Plans
- Closing the Coverage Gap — MEPs/PEPs\*
- Inclusivity and Career Development
- 403(b) Plan Service
- Plan Participant Service
- Plan Sponsor Service

\*Multiple Employer Plans/Pooled Employer Plans

Nominees are judged on a mixture of qualitative and quantitative measures, including tenure in the business; retirement plan business as a percentage of practice revenue; answers to essay questions; compliance records; and willingness to serve in a fiduciary role to clients' plans. Finalists are interviewed by judges before a winner is selected. For 2025, 83 nominations were received, 28 finalists were selected and 6 winners were announced. No fee is charged to participate. The rating is not indicative of the Advisor's future performance."

**2025 NAPA Top DC Advisor Teams:** established in 2017, nominees had to be individual advisor team/offices with a defined contribution book of business, in a single physical location. To be considered, firms had to submit responses to an application form, including information about their practices, notably their defined contribution (DC) assets under advisement. The list is created and conducted by the National Association of Plan Advisors, an affiliate organization of the American Retirement Association, a non-profit association. No fee is charged to participate. The rating is not indicative of the nominee's future performance. Approximately 432 submissions participated in the ranking. 432 were named to the final ranking.

**Barron's 2025 Top 100 Institutional Consulting Teams.** The teams in the ranking were evaluated on a range of criteria, including institutional investment assets overseen by the team, the revenue generated by those assets, the number of clients served by the team, and the number of team members and their regulatory records. Also considered were the advanced professional designations and accomplishments represented on the team. The rating is not indicative of the Advisor's past or future performance. Neither the firm nor the Advisor pay a fee to Barron's in exchange for the rating. In 2025 there were 154 submissions for the Top 100 Institutional Consulting Teams. Please note, these submissions are part of a pool of applicants who meet submission criteria, including an assets-under-management minimum.



**CAPITAL  
GROUP®** | **AMERICAN  
FUNDS®**

## Biography



### **Sue Walton**

Sue Walton is a senior retirement strategist at Capital Group, home of American Funds. She has 27 years of industry experience and has been with Capital Group for nine years. Prior to joining Capital, Sue was a director at Towers Watson Investment Services. Before that, she was an investment consultant at Mercer Investment Consulting and Ellwood Associates. She holds an MBA from DePaul University with a concentration in finance and a bachelor's degree in business administration, economics and international business from Marquette University. Sue is based in Chicago.