

**Tuesday, July 21<sup>st</sup>, 2026**

8:00 a.m. - 2:00 p.m.

Location:  
**University of Buffalo**  
Center for Tomorrow  
125 Service Center Rd.  
**Buffalo, NY 14228**  
RM: CFT Ballroom

Adjunct Lecturers Presenting:  
**Alicia Malcolm**  
*Senior Vice President— Wealth Management*  
**Paul D’Aiutolo**  
*Senior Vice President – Investments*  
**UBS Financial Services Inc.**

<b>Agenda – The Plan Sponsor University</b> <i>The C(k)PF / The Certified 401(k) Plan Fiduciary Designation</i>		
8:00 – 8:30	<b>Breakfast/Sign-in</b>	<b>Sign-in Required for Continuing Education Hours</b>
8:30 – 8:55	<b>Introduction</b>	<b>Introduction to The Plan Sponsor University</b> <i>Fred Barstein, C(k)P® – The Plan Sponsor University</i>
8:55 – 9:55	<b>Session 1</b>	<b>Adjunct Lecturers Presenting</b> <b>Fiduciary Duties and the 10 Things We See Plan Sponsors Still Not Doing (or Not Doing Right)</b> <i>Alicia Malcolm &amp; Paul D’Aiutolo – UBS Financial Services, Inc.</i>
9:55 – 10:05	<b>Break</b>	
10:05 – 10:50	<b>Session 2</b>	<b>Keynote Presentation</b> <b>Stop Playing Mind Games</b> <i>Jonathan Young – Capital Group</i>
10:50 – 11:35	<b>Session 3</b>	<b>Panel Discussion with Industry Professionals</b> <u>Moderator:</u> <i>Adjunct Lecturers, Alicia Malcolm &amp; Paul D’Aiutolo</i> <u>Panelists:</u> <i>Rick Cortellessa – Goldman Sachs</i> <i>Stuart Denholm – Fidelity Investments</i> <i>Robert Duffey – Invesco</i> <i>Jennifer Mulrooney – American Century Investments</i>
11:35 – 11:50	<b>Break</b>	<b>Pick up your Lunch</b>
11:50 – 1:15	<b>Session 4 (Working Lunch)</b>	<b>What is <i>Working</i> in Today’s 401(k) Plans?</b> <b>What is <i>Not Working</i> in Today’s 401(k) Plans?</b> <u>Group Discussion Leaders:</u> <i>Chris Bilello – Victory Capital</i> <i>Andy Claeson – Capital Group</i> <i>Jim Hakewill – MFS Investment Management</i> <i>Todd Mann – AllianceBernstein</i> <i>Chris McAvoy – T. Rowe Price</i> <i>Greg Pierce – Janus Henderson Investors</i>
1:15 – 1:45	<b>Session 5</b>	<b>The Ideal Plan - <i>Interactive Discussion</i></b>
1:45 – 2:00	<b>Closing</b>	<b>The C(k)PF Designation and Closing Statements</b>
<i>Agenda is Subject to Change</i>		